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01-03-99

PTO/SB/05 (4/98)

Approved for release through 09/30/2000. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)

Attorney Docket No. 1620/76982

First Inventor or Application Identifier Ekkert

Title Cap and container assembly

Express Mail Label No. EL133503808US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

5. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification
(preferred arrangement set forth below) Total Pages 15

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 4

4. Oath or Declaration

Total Pages 2

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☐ 37 C.F.R. § 3.73(b) Statement
(when there is an assignee) ☐ Power of Attorney

9. ☐ English Translation Document (if applicable)

10. ☒ Information Disclosure
Statement (IDS) PTO-1449 ☒ Copies of IDS
Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

Statement filed in
Prior application,
Status still proper
and desired

13. ☒ *Small Entity Statement(s)
(PTO/SB/09-12)0 ☐

14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. ☐ Other: _____

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL
ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. §
1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37
C.F.R. § 1.28).

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) Of prior application No.: _____ / _____

Prior application information: Examiner _____

Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declarations supplied
Under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
Reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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37135

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Date

29 December 1999

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PATENT
1620/76982

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application For:)
CAP AND CONTAINER ASSEMBLY)
Applicant: Len EKKERT)
_____)

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this Certificate of Express Mail, together with a Utility Patent Application Transmittal Form, a Fee Transmittal Form, a check for \$420.00 (small entity application fee and assignment recordation fee), a fifteen (15) page specification, four (4) sheets of informal drawings, a two (2) page Declaration and Power of Attorney, an Assignment, an Assignment Recordation Cover Sheet, a three (3) page Information Disclosure Statement, a three (3) page Form PTO-1449, a copy of each of 33 listed patents, a Return Receipt Postcard, and a Small Entity Status Statement are being deposited with the United States Postal Service as Express Mail in an envelope with Express Mail No. EL133503808US, addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this date of 29 December 1999.

J. Hughes

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